

# GET ANSWERS

**PRACTICAL INSIGHT TO MEET YOUR INTERNAL CONTROLS OBLIGATIONS**

- ✓ Learn about identifying and communicating the effectiveness of your internal controls
- ✓ Gain industry insights on using analytics in internal controls
- ✓ Develop new insights into how big data is transforming the audit profession
- ✓ Examine the future of cyberse-  
curity
- ✓ Hear about the latest develop-  
ments in risk assessment
- ✓ Improve your fraud investigations  
for better governance
- ✓ Protect your organization from  
inappropriate activity and fraud

**PLUS!** Hear about and get insight on how to prepare for the latest updates expected from the Public Sector Accounting Board!

*Great info! Valuable. It gave me the tools to create internal controls documentation!*

— Senior Financial Analyst  
**DEPARTMENT OF NATIONAL DEFENCE**

*Presentations were directly related to my objective to know more about the internal controls environment and particularly the assessment process . . .*

— Director  
**SASKATCHEWAN ASSESSMENT  
MANAGEMENT AGENCY**

**Save up to \$300 until April 6.**  
Send your team to take advantage of additional group discounts.

# Public Sector Internal Controls

**May 29 – 30, 2018 • Ottawa, ON**

Get Strategies and Best Practices for Managing Internal Controls to Safeguard Your Organization's Reputation



Martin Rubenstein,  
Chief Audit and Evaluation Executive,  
**TRANSPORT CANADA**



Deepak Upadhy,  
Vice-President, Risk Assurance Analytics,  
**RSM CANADA**



Awad Loubani, Director, Quality and Risk  
Management Services, **PUBLIC SERVICES  
AND PROCUREMENT CANADA (PSPC)**



Greg Nesbitt,  
Director, Internal Audit,  
**STATISTICS CANADA**



John Lark, President,  
**COHERENT ADVICE**



Mark McEvoy, President and Controls  
Advisor, **MCEVOY MANAGEMENT  
CONSULTANTS INC.**



Kevin Miller,  
Senior Manager, Risk Advisory,  
**DELOITTE**



Amie Das,  
Senior Manager, Forensic Services,  
**KPMG**



Duane McNair, Vice-President,  
Finance and Administration,  
**ALGONQUIN COLLEGE**



Robert Clayton, Partner, Audit,  
**KPMG**



Claudine Hébert, Manager, Internal Audit,  
Innovation, **SCIENCE AND ECONOMIC  
DEVELOPMENT (GoC)**



Michael A. Puskaric, Director,  
Public Sector Accounting Standards,  
**CPA CANADA**



Pascal Jolicoeur,  
Senior Manager,  
**KPMG**



Sandjiv Sandhu,  
Manager, Internal Controls,  
**QUEEN'S UNIVERSITY**



Charity Lawson,  
Senior Manager, Risk Advisory,  
**DELOITTE**



Nicole Dufresne-Baker,  
Director, Risk Advisory,  
**DELOITTE**



Andreane Rodrigue, Manager, Internal  
Controls, **CANADA MORTGAGE AND  
HOUSING CORPORATION**



Brigitte Bouchard, Senior Financial  
Compliance Auditor, **CANADA MORTGAGE  
AND HOUSING CORPORATION**



Elizabeth Leblanc, Assurance and Risk  
Advisory Specialist, **CANADA MORTGAGE  
AND HOUSING CORPORATION**



Mike Abbott,  
Partner, Cyber Risk Leader,  
**DELOITTE**

**REGISTER TODAY!**

CALL 1.800.474.4829

Fax 1.800.474.4829

www.infonex.ca

## CONFIRMED SPEAKERS INCLUDE:



### **Mike Abbott, Partner, Cyber Risk Leader, Deloitte**

Mike Abbott more than 22 years of experience in Cyber & IT Security, ERP Security implementations, Business Continuity Management, IT risk management, CEO/CFO certification, and IT audit, and control. He is currently leading a number of large Cyber Security engagements for other Ottawa based Crown Corporations. These engagements included detailed Cyber Security assessments, developing implementation road maps, reporting to Audit Committees on findings and progress. Mike is a Chartered Accountant (CA), a Certified Information Systems Auditor (CISA) and a Certified Information Systems Security Professional (CISSP).



### **Brigitte Bouchard, Senior Compliance Financial Auditor, CMHC**

Brigitte Bouchard, CPA Auditor, CGA, CFE, is senior financial compliance auditor, investments and pension fund, at the Canada Mortgage and Housing Corporation (CMHC). She has over 7 years of experience in assurance, risk management, corporate planning, financial reporting and management accounting. Her previous work experiences include accounting firms, the Office of the Auditor General of Canada and the Canadian Museum of Nature. As part of CMHC's Internal Audit function, she led one of the first in-flight audits and her experience contributed to developing the methodology for this type of audits.



### **Amie Das, Senior Manager, KPMG**

Amie Dashas over 15 years of professional experience as both a forensic accountant and as a financial auditor. She has broad experience assisting companies with various data and analytic issues. Amie has lead teams and serviced large international public clients and government entities in a variety of engagements. She has also worked on process reviews and Sarbanes-Oxley engagements that involve understanding risks, processes, and controls for software businesses, financial services organizations, and mining companies that operate globally as well as other significant organizations in Canada.



### **Nicole Dufresne-Baker, Senior Manager, Risk Advisory, Deloitte**

Nicole Dufresne-Baker has over 25 years of experience, her focus is supporting clients with their internal control programs – from initial implementation, through to sustainment. Nicole's client base is principally the Canadian Federal government. In addition, Nicole provides services relating to internal audit, risk management, and process optimization. She has deep understanding of financial management in the Government of Canada.



### **Claudine Hébert, Manager, Internal Audit, Innovation, Science and Economic Development Canada**

Claudine first joined the federal public service in 2010 as a Senior Auditor at the Office of the Comptroller General, Treasury Board Secretariat. She has led internal audits in federal departments and provided recommendations to senior management, namely through the conduct of Core Control Audits, which had for objective to assess the design and operations of the governance, risk management, and control processes. She also serves as the Chair of Programs for the IIA Ottawa Chapter as well as the Co-Chair of the Innovation, Science and Economic Development Canada Managers Community.



### **Pascal Jolicoeur, Senior Manager, KPMG**

Pascal Jolicoeur has over 17 years of professional experience. He has been involved in fraud risk assessments and a variety of forensic investigations that have involved investigating the misappropriation of funds and assets, investigating allegations of mismanagement and fraud, investigating contracting irregularities, reviewing suspected employee

wrongdoing and performing fraud risk assessments. Pascal has been qualified as an expert witness at various court levels and has also testified at hearings of professional organizations.



### **John Lark, President, Coherent Advice**

John Lark is an accomplished professional who has achieved international recognition for his work in risk management. In 2010 he was awarded the internationally recognized CPRM certification from the Risk Management Institution of Australasia. John has experience in implementing ERM and in establishing enterprise wide risk-governance and control frameworks in federal agencies and departments as well as private companies and Crown corporations. John has recently completed a global handbook for the implementation of ISO 31000:2009 in small and medium sized enterprises.



### **Charity Lawson, Senior Manager, Risk Advisory, Deloitte**

Charity has over 10 years of experience in information systems audit and control, with both public sector and private sector organizations. Charity focuses on general information technology control audit and review assignments, as well as internal audit and business process control reviews, and has assisted several government departments with their ICFR implementations.



### **Elizabeth Leblanc, Assurance and Risk Advisory Specialist, CMHC**

Elizabeth LeBlanc is an assurance and risk advisory specialist with more than 15 years' experience working in Canada and Bermuda with public and private sector clients in the financial services industry. After holding various management positions with GGFL LLP, MNP LLP and PwC, Elizabeth is currently a Manager with CMHC's Internal Audit group where she is co-leading a project on the continuous improvement on the risk management governance model at CMHC.



### **Awad Loubani, Director, Quality and Risk Management Services, Policy, Planning and Communications Branch, Public Services and Procurement Canada (PSPC)**

Awad Loubani leads the multiple operations and activities of Strategic Planning and Management, a centre of excellence in strategic management for PSPC — formerly Public Works and Government Services Canada (PWGSC) — and provides advisory support to Senior Management on a broad range of government and PSPC planning issues as well as provides functional guidance to the planning community across PSPC. He kept evergreen the Corporate, Operational, Conflict of Interest, and IT Risk Profiles. He developed the PSPC Ethical Framework for Decision Makers and the companion ethical risk assessment tool. Awad has been representing the public sector in Canada on the ISO Technical Committee 262 on Risk Management since 2006. During the October 2007- November 2011, he served as the Chairperson of the Technical Committee of Canadian Standards Association.



### **Mark McEvoy, President and Controls Advisor, McEvoy Management Consultants Inc.**

Mark McEvoy is an experienced finance controls specialist, accountant, auditor and manager with more the 15 years of experience in transportation, government, resource, manufacturing and service sectors. His areas of specialty are ICFR programs and data analytics to identify and mitigate risks. In his various roles he has identified numerous weaknesses and helped build processes to address them. He has identified millions of dollars in both underpayments by clients and overpayments to suppliers.

## CONFIRMED SPEAKERS ...CONTINUED:



### **Kevin Miller, Senior Manager, Risk Advisory, Deloitte**

Kevin Miller, CPA, CA, CISA focuses on the documentation, testing and remediation of business and information technology general and application controls. He has significant experience performing assessments of internal controls over financial reporting (ICFR) as well as operational controls which includes performing interviews, analyzing and documenting supporting evidence, preparing client deliverables, communicating findings to clients and assisting in the development of remediation plans. Kevin also has experience testing an organization's internal controls as they pertain to cyber security.



### **Greg Nesbitt, Director, Internal Audit, Statistics Canada**

Greg Nesbitt has over 16 years of audit, finance and risk management experience spanning several industries including the private sector, provincial government and federal government sectors. Prior to joining Statistics Canada, Greg was a Director for the Audit and Evaluation department at Public Service Commission of Canada, a Manager and Interim Director for the Internal Audit Division at Foreign Affairs and International Trade Canada, an Internal Audit Manager for the Treasury Board Secretariat, Office of the Comptroller General and a Principal Auditor for the Province of British Columbia.



### **Michael A. Puskaric, Director, Public Sector Accounting Standards, Chartered Professional Accountants Canada**

Michael's role is to be the champion for improving public sector accounting practices across Canada by sharing knowledge, encouraging collaboration and leading the development of standards. Michael has a broad base of experience based on more than 15 years working in public, private and not-for-profit sector organizations. Michael is a Chartered Professional Accountant and a Certified Management Accountant.



### **Andreane Rodrigue, Manager, Internal Controls, CMHC**

Andreane Rodrigue, CPA auditor, CA has over 14 years of experience in auditing, accounting, risks and internal controls. From 2003 to 2015, Andreane worked for accounting firms specializing in financial audits providing external audit opinions on the financial statements of various entities. During those years, she also gained experience working on forensic accounting files and contribution audits. Andreane played a forefront role in developing the firm's audit approach following the introduction of the Canadian Auditing Standards.



### **Martin Rubenstein, Chief Audit and Evaluation Executive, Transport Canada**

Martin Rubenstein has more than 23 years of experience in audit, evaluation and risk-based management. Since the beginning of 2014, he has held the position of Chief Audit and Evaluation Executive of Transport Canada where he is responsible for providing the Deputy Minister and the Associate Deputy Minister with insight on the effectiveness and adequacy of departmental risk management practices, controls and governance processes, and on the effectiveness of TC policies and programs. Martin is also the Canadian representative on the Board of External Auditors for the Organization of American States. In his capacity as the Chair of the Board he is responsible for providing expert advice on organizational affairs that promote greater efficiency, effectiveness and economy.



### **Sanjiv Sandhu, Manager, Corporate Policy, House of Commons, Government of Canada**

Sanjiv Sandhu, CPA, CGA, has a broad range of experience in the development and delivery of financial management services, auditing of internal financial controls, including financial reporting and developing finance and material management policies. He is responsible for development of finance and material management policies, internal controls over financial reporting, and assurance of business process compliance at the House of Commons. Previously, he was a senior policy analyst at the Office of the Comptroller General.



### **Deepak Upadhy, Vice-President, Risk Advisory, RSM Canada**

Deepak Upadhy is a risk intelligent IT executive with extensive experience in the areas of IT governance and information security. As Vice President, Risk Advisory, Deepak assists companies with business continuity planning and disaster recovery, cyber security—post and pre-event—data analytics and technology risk management. Prior to joining RSM Canada in 2014, Deepak was the chief information officer for a public sector entity. He has also held a position as service leader for IT governance for a large national professional services firm. Deepak has also international experience having served as an IT director for a large international corporation operating in Africa, Middle East and Europe.

## SPONSORSHIP AND EXHIBITION OPPORTUNITIES

If you would like to increase your visibility with internal controls and audit professionals, you need to be at *Public Sector Internal Controls*. A limited number of sponsorship options are available.

- Exhibit Space
- Cocktail Reception
- Luncheon
- Breakfast
- Conference Documentation

For more information or to check availability, contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at [sponsorship@infonex.ca](mailto:sponsorship@infonex.ca). Choose to participate at this event and ensure your brand is front and centre at this great marketing opportunity.

## OTTAWA, ONTARIO EVENT LOCATION: OTTAWA, ONTARIO

**Public Sector Internal Controls will be held at a convenient downtown location in Ottawa which could include the Ottawa Conference and Event Centre or the Radisson Hotel Parliament Hill. Detailed venue information will be forthcoming as it becomes available.**

## DAY ONE PROGRAM AGENDA: TUESDAY, MAY 29, 2018

7:30 – 8:30 Registration and Continental Breakfast

8:30 – 8:40

**Welcome and Opening Remarks from the Chair**  
*John Lark, President, Coherent Advice*

8:40 – 9:25

**Identifying and Communicating the Effectiveness of Internal Controls**

*John Lark, President, Coherent Advice*



- Matching controls to risks
- Developing a comprehensive understanding of your risks and their importance
- Controls, as designed vs. as implemented
- Measuring control effectiveness
- Risk tolerance and controls
- Identifying and understanding over-control and control deficiencies
- Strategies for effective communication of control information

9:25 – 10:10

**Public Sector Accounting Board Update**

*Michael A. Puskaric, Director, Public Sector Accounting Standards, CPA Canada*



- Due process
- Public interest
- Accountability
- Stakeholder focus

10:10 – 10:25

Networking Break



10:25 – 11:10

**Auditing Change: How to Survive (and Thrive)**

*Martin Rubenstein, Chief Audit and Evaluation Executive, Transport Canada*



- Ensuring sound controls of a new \$1.5 billion program
- Legislative and regulatory modernization: keeping pace with accelerating technology changes in transportation — Where does internal audit fit?
- Innovation from an audit perspective
- Management interaction to ensure success
- Soft controls: governance, stakeholder engagement, risk
- Providing "real-time assurance" or "continuous auditing"
- Dashboard reporting versus "end of audit reporting"
- Toggling between management and the independence of the audit team
- How auditors can engage professionally and achieve "trusted advisor" status

11:10 – 12:00

**Using Financial Control Skills to Assess Information Technology General Controls (ITGCs)**

*Mark McEvoy, President and Controls Advisor, McEvoy Management Consulting Inc.*



- Understanding and auditing big data

- ITGC definitions, COBIT, TBS policies
- ITGC objectives and limitations
- Use your existing assessment testing skills to audit ITGCs
- ITGC tests for non-IT auditor
- Specific processes to demonstrate ITGC controls

12:00 – 1:00

Luncheon Break

1:00 – 1:50

**Streamlining Controls for Efficiency While Maintaining Effectiveness**

*Robert Clayton, Partner, Audit, KPMG*



- Using LEAN value stream mapping to understand your processes
- Identifying key control points, inefficiencies and over processing
- Streamline process based on mapping continuous improvement
- Creating value through process optimization and client journey improvements

1:50 – 2:45

**Going Deeper: Industry Insights on Using Analytics in Internal Controls**

*Amie Das, Senior Manager, Forensic Services, KPMG*



- Tools and tricks to analyzing data
- Detecting inappropriate activity and fraud
- Increase certainty in your decision making by utilizing data analytics
- Explore the benefits of increasing departmental transparency

2:45 – 3:00

Networking Break



3:00 – 3:45

**Robotics Process Automation: Effect on Testing and Implementing Internal Controls**

*Nicole Dufresne Baker, Director, Risk Advisory, Deloitte Canada*



*Charity Lawson, Senior Manager, Risk Advisory, Deloitte Canada*



- Overview of Robotic Process Automation (RPA)
- Benefits of RPA
- Examples of RPA use in business processes
- Preparing internal audits with automation
- Detecting inappropriate activity using RPA
- Impact on RPA on controls

3:45 – 4:30

**Cybersecurity: Ever Considered Hiring a Hacker to Break into Your Systems?**

*Greg Nesbitt, Director, Internal Audit, Statistics Canada*



- Why you would consider this option?
- What you need to do to get management on board
- How to scope and design an IT security audit using penetration testing
- How to analyze and present the results

## DAY TWO PROGRAM AGENDA: WEDNESDAY, MAY 30, 2018

7:30 – 8:30 Registration and Continental Breakfast

8:30 – 8:40

### Opening Remarks from the Chair

*John Lark, President, Coherent Advice*

8:40 – 9:25

### The Future of Cybersecurity in Internal Controls

*Mike Abbott, Partner, Cyber Risk Leader, Deloitte*  
*Kevin Miller, Senior Manager, Risk Advisory, Deloitte*

- Overview of a cyber attack
- Defending against new cyber attacks and emerging threats
- Tools and techniques: tactics for cybersecurity resilience
- Enhancing cybersecurity in IT controls
- Effectively engaging internal controls teams and internal audit teams to manage cyber risk



9:25 – 10:10

### Internal Controls and Cybersecurity

*Deepak Upadhyha, Vice-President, Risk Assurance Analytics, RSM Canada*

- Strategies to enhance risk assessment
- Monitoring activities and mechanisms
- Ensuring controls are robust enough to spot irregularities
- Monitoring activities and mechanisms: the "Golden Rule"
- Fraud risk assessment



10:10 – 10:25 Networking Break



10:25 – 11:10

### Risk Management in a Time of Transformation

*Andreane Rodrigue, Manager, Internal Controls, Canada Mortgage and Housing Corporation (CMHC)*

*Brigitte Bouchard, Senior Financial Compliance Auditor, Canada Mortgage and Housing Corporation (CMHC)*

*Elizabeth Leblanc, Assurance and Risk Advisory Specialist, Canada Mortgage and Housing Corporation (CMHC)*

- Canada Mortgage and Housing Corporation (CMHC) in motion
- Impact of organizational change on risks and risk culture at CMHC
- Evaluation and adaptation of risk management practices in an environment of change
- Agile risk management at CMHC
- Road plan for continuous evolution of risk management



11:10 – 12:00

### Fraudsters, Fraud Trends, and Response: Are You Protecting Your Organization?

*Pascal Jolicoeur, Senior Manager, KPMG*

- Fraud and misconduct trends
- What is fraud risk management?



- What organizations can do to help prevent fraud and misconduct
- What organizations can do to detect fraud
- Best practices in responding to allegations of fraud and misconduct

12:00 – 1:00

Luncheon Break

1:00 – 1:50

### Key Controls: Identification and Management

- Sandjiv Sandhu, Manager, Internal Controls, Queen's University*
- Defining key controls
  - Identifying key controls
  - Testing their design and operating effectiveness
  - Managing to reduce the number of key controls
  - Examples of key controls across different types of business processes



1:50 – 2:45

### Internal Controls Over Financial Reporting Framework

*Duane McNair, Vice-President, Finance and Administration, Algonquin College*

- 
- 
- 
- 
- 



2:45 – 3:00

Networking Break



3:00 – 3:45

### Integration of Audit, Internal Controls, Oversight and Risk

*Awad Loubani, Director, Quality and Risk Management Services, Policy, Planning and Communications Branch, Public Services and Procurement Canada (PSPC)*

- Overview of Canadian and international risk standards
- Importance of adopting a risk based approach
- Alignment of controls and key risks in the organization
- Role of oversight bodies in promoting a risk aware culture and ensuring effective and efficient audit coverage



3:45 – 4:30

### Organizational Culture: What Does It Eat for Breakfast and Can Your Strategy Make It Through the Day

*Claudine Hébert, Manager, Internal Audit, Innovation, Science and Economic Development, Government of Canada*

- How does culture impact an organization's operations
- Alignment of an organization's risk management policy to its culture
- Hard controls versus soft controls
- Approaches for auditing culture



## WHO SHOULD ATTEND THIS EVENT:

- Internal Controls Directors, Managers and Analysts
- Internal Audit Professionals
- Corporate Performance, Evaluation, and Accountability Personnel
- Risk Management Professionals
- Accounting Personnel
- Assurance Services
- Advisory Services
- Quality Assurance Professionals
- Financial Services and Financial Compliance Personnel

## REGISTER BY PHONE, ON-LINE, OR IN THESE 3 EASY STEPS!

### 1 PRINT YOUR NAME AND CONTACT INFORMATION

Mr./Ms./Mrs. \_\_\_\_\_ Title \_\_\_\_\_

Organization \_\_\_\_\_

Name of Approving Manager \_\_\_\_\_ Title \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ Province \_\_\_\_\_ Postal Code \_\_\_\_\_

Telephone ( ) \_\_\_\_\_ Ext \_\_\_\_\_ Fax ( ) \_\_\_\_\_

Email address \_\_\_\_\_

Company's main line of business \_\_\_\_\_ Number of Employees: \_\_\_\_\_

### 2 SELECT YOUR PREFERRED PAYMENT METHOD

Prices subject to HST.	FULL PRICE	Register by April 6
Course for Groups of 3 +	\$2,199 each	<b>\$1,899 each</b>
Course for Groups of 2	\$2,299 each	<b>\$1,999 each</b>
Course for 1 Registrant	\$2,399	<b>\$2,099</b>

\*Groups must register together at the same time to be eligible for group rates.

Method of Payment:  VISA  MasterCard  Cheque enclosed, payable to INFONEX Inc.

Cardholders Name: \_\_\_\_\_


Card Number: \_\_\_\_\_ Exp. Date: \_\_\_\_\_ / \_\_\_\_\_

CVV/CSC: \_\_\_\_\_ Signature: \_\_\_\_\_

Please check box if you are GST/HST exempt Exemption # \_\_\_\_\_

### 3 SEND US YOUR REGISTRATION


GST/HST No. R134050012

 **FAX:** 1.800.558.6520

 **EMAIL:** register@infonex.ca

 **TELEPHONE:** 1.800.474.4829

 **WEBSITE:** www.infonex.ca

 **MAIL:** INFONEX INC.  
360 Bay Street, Suite 900  
Toronto, Ontario M5H 2V6

## LOCATION:

*Public Sector Internal Controls* will be held at a convenient downtown location in Ottawa which could include the Ottawa Conference and Event Centre or the Radisson Hotel Parliament Hill. Detailed venue information will be forthcoming as it becomes available.

## YOUR REGISTRATION INCLUDES:

Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

## SPONSORSHIP, EXHIBITION, AND PROMOTIONAL OPPORTUNITIES:

If you would like to increase your visibility with senior risk and controls professionals you need to be at *Public Sector Internal Controls*. A limited number of sponsorship options are available.

Contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at sponsorship@infonex.ca.

## CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and email to register@infonex.ca or fax to 1-800-558-6520 **no later than May 15, 2018.** A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue.

Registrants who cancel after **May 15, 2018**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel **by May 15, 2018**, and fail to attend will be liable for the entire registration fee.

**DISCOUNT CODE: 1278-W**

**INFONEX**  
Professional Development