

YOUR FACULTY HEAR FROM EXPERIENCED LEADERS



Tony Dunham, SVP,
Innovation and Operations,
NORTHERN CREDIT UNION



Anita Ghosh, Head,
Human Centric Design,
BMO FINANCIAL GROUP



Moad Fahmi, Director, Fintech
Innovation, **AUTORITÉ DES
MARCHÉS FINANCIERS (AMF)**



Leila Wright,
Associate Deputy Commissioner,
COMPETITION BUREAU OF CANADA



Jeff Mitelman,
CEO and Co-founder,
THINKING CAPITAL



Dave Nugent, Chief Information
Officer and Chief Compliance Officer,
WEALTHSIMPLE



Antoinette Leung, Director
Modernization, **PAYMENTS CANADA**



Sean O'Connor, SVP Partnership,
GROW



Ron Stokes, Partner, National
Financial Services Fintech and
Transactions Leader, **EY**



Abhishek Sinha,
Partner, Fintech National Leader,
EY



Steve Yendall, Partner,
Insurance Sector National Leader,
EY

*Meet Your Risk and Compliance Obligations in an Era of
Radical Innovation in the Financial Services Sector!*

fintech

Risk & Opportunities Canada Summit 2018

June 5 – 6, 2018 • Toronto, ON

Save up to \$200 until May 18.

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Refine Your Strategy: A Canadian-Focused Lens on
Addressing Risk and Opportunities in the Tech-Fueled
Transformation in Financial Services

- ✓ Discuss the options for traditional financial services: internal innovation and/or partnership
- ✓ Hear case studies from industry innovators
- ✓ Assess gaining market share for traditional and new-generation financial service providers
- ✓ Debate the future of banking and longer-term impacts on the business model
- ✓ Adapt risk assessments to the new ecosystem
- ✓ Assess regulatory reform: balancing industry growth with risk management
- ✓ Consider policy options for maintaining a vibrant, competitive landscape

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FINTECH Risk & Opportunities Canada Summit

June 5 – 6, 2018 • Toronto, Ontario

DAY ONE PROGRAM AGENDA: TUESDAY, JUNE 5, 2018

8:00 – 9:00 Registration and Continental Breakfast

9:00 – 9:10

Welcome and Opening Remarks from the Chair
Abhishek Sinha, Partner, National Leader, Fintech, EY

9:10 – 10:10

Exploring a New Financial Services Landscape
Ron Stokes, Partner, National Financial Services Fintech and Transactions Leader, EY

- Observations from the first EY Fintech Adoption Index
 - Understanding the overall rate of Fintech adoption
 - Which products are users adoption
 - Outlook for future usage
- The Fintech phenomenon: the disruption is real
- Making life easier
- A young, urban and valuable audience
- Payments transformed
- Easy and convenient
- Identifying the obstacles



Ron Stokes has more than 20 years of professional experience providing advisory and assurance based services in the financial services sector. His transaction experience includes financial service acquisitions in Canada, the US, the Caribbean and South America; Canadian credit card portfolios; retail and commercial loans, consumer finance & specialty finance companies, asset managers and hedge funds. Ron is a Canadian Chartered Professional Accountant and a Certified Public Accountant in the U.S.; a member of the Institute of Chartered Accountants of Ontario, the American Institute of Certified Public Accountants and the North Carolina Association of Certified Public Accountants.

10:10 – 10:30

Networking Break



10:30 – 11:30

Partnering with Fintech Innovators: What you need to know

Jeff Mitelman, CEO and Co-founder, Thinking Capital

- What Fintechs are looking for
- What financial institutions are looking for
- Understanding - and overcoming - the key obstacles to securing a partnership
- How to ensure continuing innovation throughout a partnership



Over the last 20 years, Jeff Mitelman has built his career by challenging the status quo in financial services. At Thinking Capital, Jeff and the team are focused on redefining how small businesses borrow. Driven by a vision that Lending should be smarter not harder, he continues to drive product and has cultivated many of Thinking Capital's partnerships including CIBC, Money Mart, Moneris and many more.

11:30 – 12:30

InsurTech: How Fintech Is Transforming the Insurance Industry

Steve Yendall, Partner, Insurance Sector National Leader, EY

- Changing customer expectations
- Enhancing the customer experience
- How to best respond to insurtech
- Challenges: differing business models



Steve Yendall leads the EY's Insurance Advisory practice for Canada. He has over 20 years of both industry and management consulting experience serving clients within the Insurance sector. Prior to joining EY, Steve held Senior Leadership and Executive positions with leading Canadian property and casualty insurers.

12:30 – 145

Luncheon Break

1:45 – 2:45

Story of Our Partnership

Sean O'Connor, SVP Partnership, GROW

CASE STUDY

- Defining expectations
- Third party risk considerations
- Engaging stakeholders
- Managing change
- Exploring future opportunities
- Lessons learned

2:45 – 3:00

Networking Break



3:00 – 4:00

Credit Unions: Innovations in Growing Membership
Tony Dunham, SVP, Innovation and Operations, Northern Credit Union

CASE STUDY

- Background to being the first financial service provider in Canada to offer a fully integrated and responsive online account opening and loan auto-decisioning tool for lines of credit
- Partnership strategy with ASAPP
- Fintech and credit unions: the future is bright

TORONTO, ONTARIO
EVENT LOCATION: TORONTO, ONTARIO

Fintech Risk and Opportunities Canada Summit will be held at:

Novotel Toronto Centre
45 The Esplanade, Toronto, Ontario M5E 1W2
Telephone: 416-367-8900

The hotel is able to offer you a special guest room rate of \$229 + taxes / night. Please call 416-367-8900 directly and quote reservation code: "INFONEX" when booking your room. Registration fees do not include hotel accommodation.

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DAY TWO PROGRAM AGENDA: WEDNESDAY, JUNE 6, 2018

8:00 – 9:00

Continental Breakfast

9:00 – 9:10

Opening Remarks from the Chair

Anita Ghosh, Head, Human Centric Design, BMO Financial Group

9:10 – 10:10

Driving Growth in the Canadian Fintech Sector

Dave Nugent, Head of Investments, Wealthsimple

- Alternative investing options
- What can regulators and policymakers do to help drive growth
- Opinion: What are risk considerations for a customer-based Fintech



Dave Nugent co-founded Wealthsimple in 2014 and leads its B2B business. Wealthsimple operates in Canada, the U.S. and the U.K., and is backed by Power Financial Corporation, a global management and holding company. Prior to joining Wealthsimple, Dave was an investment advisor with RBC Dominion Securities.

10:10 – 10:30

Networking Break



10:30 – 11:30

Meeting Customers Where They Are

Anita Ghosh, Head, Human Centric Design, BMO Financial Group

- Establishing an HCD practice (tools, capabilities) as a vehicle to meeting customers where they're at while enabling emerging technology
- Beyond HCD practice: sparking a movement and influencing a cultural mindset shift towards thinking like a customers, bringing a human-lens to all experiences, interactions, products and technology at BMO
- Making HCD real at a large organization: leading with desirability, getting to the balanced breakthrough; measurable value via customer centered metrics

Anita is a business leader with 18 years of Canadian, US and Global experience across a diverse range of businesses including Telecommunications, Software, Financial Services and Consulting. Over the course of her career, Anita has held a variety of roles in Product Management, Strategy, Research, Marketing and Distribution including Phone, Omni & Digital channels.

11:30 - 12:30

Fintech and Competition in Financial Services

Leila Wright, Associate Deputy Commissioner, Competition Bureau of Canada

- Fintech market study findings
- Retail payments and the retail payments system
- Lending and equity crowdfunding
- Investment dealing and advice
- Global reactions to Fintech innovation
- Recommendations for regulators and policymakers



Leila Wright directs the Bureau's intergovernmental affairs, competition advocacy, regulatory interventions, and strategic policy and planning initiatives. From 2013 to 2017, Leila held a variety of increasingly senior positions at the Bureau, including A/Assistant Deputy Commissioner of International Affairs and Special Advisor to the Commissioner of Competition. Prior to joining the Public Service, Leila practised competition law and commercial litigation at Heenan Blaikie LLP.

12:30 – 1:45

Luncheon Break

1:45 - 2:45

Modernizing Regulation to Support Fintech Innovation and Address Risks

Moad Fahmi, Director, Fintech Innovation, Autorité des marchés financiers

- Fostering trust: analyzing our environment to build regulatory capacity
- An opportunity to build open approaches to policy-making and move towards faster regulatory development iterations
- From Fintech to Regtech to Suptech: Using Innovation and technologies internally to deepen our understanding through hands-on experimentation and to increase efficiency
- The importance of partnerships and networks to understand risks and improve communication



Moad Fahmi, CFA, is responsible for co-ordinating the AMF's Fintech strategy that involves more than 60 employees working in cross-functional teams. Prior to joining the AMF, Mr. Fahmi held the position of Senior Manager, National Accounts, at Laurentian Bank, and Senior Manager, Business Development, at the Business Development Bank of Canada (BDC).

2:45– 3:00

Networking Break



3:00 - 4:00

Payments Innovation in Canada

Antoinette Leung, Director Modernization, Payments Canada

- Promoting innovation by providing more flexibility and security and richer data
- Hear about the Vision for Canada's Payment Ecosystem report
- Modernising Canada's payments systems, rules and standards to support the evolving needs and expectations of market participants
- Working with industry to develop a roadmap for this "vision"
- Findings to date



Antoinette Leung is responsible for ensuring Payments Canada's modernized payment systems meet regulatory requirements and best practices. She is accountable for the development of the financial risk model for each of the modernized payment systems. In addition, Antoinette co-chairs the Modernization Financial Risk Group

FINTECH Risk & Opportunities Canada Summit

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WHO SHOULD ATTEND THIS EVENT

CEOs, CFOs, COOs, VPs, Directors and Managers involved in:

- Risk Management
- Digital Innovation
- Compliance and Security
- Technology and Innovation
- Marketing
- Audit Executives

Plus, Professionals from Regulatory Agencies and Industry:

- Fintech CEOs and CIOs
- Heads of Compliance
- Representatives from Consulting, Audit and Law Firms with an Interest in Fintech Regulation
- Directors of Strategic Partnerships
- Corporate Counsel
- Change Management

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YOUR REGISTRATION INCLUDES:

Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

SPONSORSHIP, EXHIBITION, AND PROMOTIONAL OPPORTUNITIES:

If you would like to increase your visibility with senior Fintech, compliance and risk professionals, you need to be at *Fintech Risk and Opportunities Canada Summit*. A limited number of sponsorship options are available. Contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at sponsorship@infonex.ca.

CANCELLATION POLICY:

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