

October 20 - 21, 2020 • Virtual Conference



Operational Risk Management

DAY ONE PROGRAM AGENDA: TUESDAY, OCTOBER 20, 2020

10:30 - 10:45 EDT (07:30 - 07:45 PDT)

Welcome and Opening Remarks from the Chair

Andrew Richardson, Principal, Simplified Risk Management Inc.

10:45 - 11:45 EDT (07:45 - 08:45 PDT)

Real-Life of Quantitative Convergent ERM to Solve Real Life Problems

Franco Oboni, President & Principal Consultant, Oboni Riskope Associates Inc.

11:45 - 12:45 EDT (08:45 - 09:45 PDT)



Regulatory Update: OSC Enforcement During the Pandemic + The OSC Whistleblower Program

Ashok Menen, Enforcement, Investigation Counsel, Ontario Securities Commission

12:45 - 13:30 EDT (09:45 - 10:30 PDT) Break

13:30 - 14:30 EDT (10:30 - 11:30 PDT)

Continuous Monitoring

Jeremy Holmes, Director, GRM Operational Risk, Corporate Functions, RBC

Kerry Willett-Vali, Director, Operational Risk and Regulatory Management, RBC

14:30 - 15:30 EDT (11:30 - 12:30 PDT)

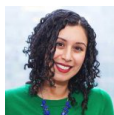


Operational Risk Management: Adding Value to the Business

Rex Alex, Assistant Vice President, Operational Risk, Canadian Western Bank

15:30 - 16:15 EDT (12:30 - 13:15 PDT) Break

16:15 - 17:15 EDT (13:15 - 14:15 PDT)



The Risks of Ignoring People When Leading Rapid Change

Mumtaz Chaudhary, Executive Director & Co-Founder, Pragilis Solutions Inc.

Shaheen Chaudhary, Executive Director & Co-Founder, Pragilis Solutions Inc.



17:15 EDT (14:15 PDT)

Closing Remarks from the Chair

Andrew Richardson, Principal, Simplified Risk Management Inc.

DAY TWO PROGRAM AGENDA: WEDNESDAY, OCTOBER 21, 2020

10:30 - 10:45 EDT (07:30 - 07:45 PDT)

Welcome and Opening Remarks from the Chair

Andrew Richardson, Principal, Simplified Risk Management Inc.

10:45 - 11:45 EDT (07:45 - 08:45 PDT)



Elements of a Robust Governance Framework

Saad Ali, Director, Governance, Controls & Operational Risk, CIBC

11:45 - 12:45 EDT (08:45 - 09:45 PDT)



Impact of COVID19 on AML Audit Testing

Andres Betancourt, Senior Audit Manager, Scotiabank

12:45 - 13:30 EDT (09:45 - 10:30 PDT) Break

13:30 - 14:30 EDT (10:30 - 11:30 PDT)



We're All Risk Managers Now

Norman Baldwin, Partner, GRC Vista Risk Consulting

14:30 - 15:30 EDT (11:30 - 12:30 PDT)



Panel Discussion

COVID-19: Lessons Learned

Moderator: Andrew Richardson, Principal, Simplified Risk Management Inc.



Sarah Reppchen, Financial Services Leader, Western Canada, Risk Advisory, Deloitte



Richard Cartwright, Senior Program Management Consultant, MZP Solutions

Michael Leung, Founder & Management Consultant, Canadian Cybersecurity Inc.

15:30 - 16:15 EDT (12:30 - 13:15 PDT) Break

16:15 - 17:15 EDT (13:15 - 14:15 PDT)



Facing Uncertainty with Business Continuity Management: How Resilient Your Business Is?

Eslam Eldakrory, Director, Business Continuity and Risk Management, Home Trust Company

17:15 EDT (14:15 PDT)

Closing Remarks from the Chair

Andrew Richardson, Principal, Simplified Risk Management Inc.