

November 16 - 17, 2021 • Virtual Course

Public Sector Internal Controls

New Problems, New Solutions, in the Era of Covid-19



YOUR FACULTY

CONFIRMED PARTICIPANTS INCLUDE:



John Lark
Coherent Advice Inc



Claire Lake
Baiame Consulting



**Nicole Dufresne-
Baker**
Deloitte Canada



Mike Goodfellow
Deloitte



Brian Bost
KPMG LLP

... and more

Some of Canada's most experienced experts will share their expertise and insights on:

- Transforming internal controls to agile approaches
- Understanding your organization's culture and how it impacts control effectiveness
- Managing risks linked to privacy and cyber security
- Achieving reliable resilience in the presence of change
- Anticipating and addressing challenges to effective financial reporting
- Implementing internal controls over financial reporting
- Detecting and preventing fraud
- Understanding the risks of modifying controls while implementing working remotely
- Internal controls for valuing non-financial issues
- Assessing the potential of analytics and artificial intelligence for your control framework



Professional Development

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DAY ONE PROGRAM AGENDA: TUESDAY, NOVEMBER 16, 2021

10:00 - 10:15 EST



Welcome and Opening Remarks from the Chair

John Lark, Managing Principal, Coherent Advice Inc

10:15 - 11:00 EST



Keynote Address

Internal Controls in Agile Transformations

Brian Bost, Partner-in-Charge, Risk Consulting Ottawa, KPMG LLP

Role of Internal Controls on the success of major transformations:

- The role of internal controls in 1st, 2nd and 3rd lines of defence in major transformations
- AGILE - What it is, and what it is not
- The importance of internal controls in agile transformations
- Case Studies - Why internal controls are even more important than ever

11:00 - 11:45 EST



How Covid Changed Workplace Culture and How We Have Responded: Monitoring and Mitigating Changes in "Culture Risk" with an Internal Control Framework

Roxane Roy, Director, Internal Controls, Finance and Administration Branch, Public Services and Procurement Canada

Impact of Covid-19 on:

- The system of values, beliefs and behaviours that shape how things get done within an organization
- The activities used to reinforce culture and the employee behaviours that have an impact on an organization's culture
- The qualitative and quantitative indicators that support a healthy organization
- Practical frameworks used to identify linkages between leader's actions, business strategy, organization systems and employee behaviours

DAY ONE PROGRAM AGENDA: TUESDAY, NOVEMBER 16, 2021

11:45 - 12:30 EST



The Evolving Financial Management Landscape: Adapting your Management Control Framework and Internal Controls Assessments for Changes in Financial Management Policies

Claire Lake, Baiame Consulting



Becky Drummond, Senior Manager, Risk and Financial Management, Baiame Consulting

- Impact of Covid-19 on financial management policies in the public sector
 - Flexible transfer payments and evolving transfer payment programs
 - Risk-based focus
 - Sustainable operations and resiliency
- Upcoming changes to financial management policies that may affect your organization.
- Implementing and revising a management control framework, internal control assessments and supporting processes in alignment with financial management policy changes.
- Case Study: Redesigning Transfer Payments and Grants and Contributions management practices

12:30 - 13:15 EST Break

13:15 - 14:00 EST



Stewardship, Internal Controls and The Three Lines of Defence

Claudine Hébert, Director, Internal Audit Directorate, Innovation, Science and Economic Development Canada

- What is stewardship?
- The relationship between stewardship and Internal Controls?
- The importance of the three lines of defence

14:00 - 14:45 EST



Leveraging Artificial Intelligence to Mitigate Financial Risk

John Colthart, Senior Vice President, Strategic Insights and Marketing, MindBridge

- How machine learning and artificial intelligence (AI) solutions are transforming risk management
- The main machine learning and AI techniques of benefit to risk management
- Current practice and empirical evidence of the application of AI techniques to the risk management fields
- Current limitations
- How the field is likely to develop in the short- to medium-term
- Practical limitations around suitable data management policies, transparency, necessary skill sets

DAY ONE PROGRAM AGENDA: TUESDAY, NOVEMBER 16, 2021

14:45 - 15:00 EST Break

15:00 - 16:00 EST



Don't Just Survive, Thrive: Reliable Financial Reporting For the Public Sector in the Era of Covid

Mike Goodfellow, Global Operations Advisory Leader, Deloitte

Conducting a thorough review of your organization's internal control environment is now more crucial than ever. This session will cover considerations related to business processes, technology, and control testing practices that will allow you to thrive even in this challenging time.

- Anticipating challenges in internal control over financial reporting
- Business control implications
- IT Control implications
- Internal Control framework and governance
- Testing activities

16:00 EST

End of Day One

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DAY TWO PROGRAM AGENDA: WEDNESDAY, NOVEMBER 17, 2021

10:00 - 10:15 EST



Welcome and Opening Remarks from the Chair

John Lark, Managing Principal, Coherent Advice Inc

10:15 - 11:00 EST



Opening Keynote Address

Focus on Fraud: New and Emerging Risk Governance Insights for Internal Controls

Richard Leblanc, Professor of Governance, Law & Ethics, York University

The impact of Covid -19 has ricocheted throughout every organization and the result is new and emerging governance risks and necessary changes to internal controls. This session will include a deep dive into the Internal Controls over:

- Employee wellness and safety
- Cyber-security
- Fraud
- Working from home
- Climate and “Environment, Social and Governance” (ESG)
- Recent legal changes to fiduciary duty
- Acceleration of digitization and impact on business models
- Assurance over the design and testing of internal controls
- Linking executive pay to Environment, Social and Governance (ESG)
- Independence of internal audit, risk and compliance functions

11:00 - 11:45 EST



Threat Hunting Techniques and More - The Intersection Between Fraud Detection, Cyber Security and Data Analytics

Darrin Nowakowski, National Head Cyber Strategy, CGI Canada

- Where cyber security and fraud detection converge
- Strategies for detecting internal and external fraud
- Detecting and alerting for fraud
- Using Threat Hunting techniques for fraud detection
- Platforms for rapid analysis
- Analytics for large scale analysis
- Putting it all together A.K.A -Finding the needle in the haystack

DAY TWO PROGRAM AGENDA: WEDNESDAY, NOVEMBER 17, 2021

11:45 - 12:30 EST



Remote Work and the Effects of Dispersed Workforces The Case for Evolved Strategies Improving Data Security, Privacy, and Compliance Performance

Matthew D. Lammers, Sr. Managing Consultant, IBM Security

- How organizations have demonstrated agility and creativity in adapting to new realities affecting connectivity and workloads since the global pandemic alert was issued,
- How remote work and the effects of dispersed workforces have now transformed into a race towards digital transformation (hybrid-cloud).
- Important gaps in that innovative leap.
- How the effects of these gaps are being felt in areas of security, privacy, and compliance.
- How these elements represent a journey, not a destination,
- How “perimeterless” environments have put an end to legacy security postures,
- The need for rapidly evolving strategies to protect organizations

12:30 - 13:30 EST Break

13:30 - 14:15 EST



The Threat From Within: Recognizing the Risk of an “Insider”...

Robert Pitcher, Cyber Partnerships Manager, National and Cyber Security Branch, Public Safety Canada / Government of Canada

Insider risk relates to people working within an organization to subvert the confidentiality, integrity, and availability of the information contained within the walls of that entity. Public Safety Canada is pleased to provide an informative and insightful talk where participants will hear case studies of related events and learn what organizations can do today to protect their systems from the “threat from within”.

- What if the keys to the castle were in the hands of those that you were trying to defend against?
- What if the contractor building your IT infrastructure was working for your competitor?
- What if your most important asset was also your biggest vulnerability?

14:15 - 15:15 EST



System Implementation and Control Transformation

Nicole Dufresne-Baker, Director, Risk Advisory, Deloitte Canada

Charity Lawson, Partner, Risk Advisory, Deloitte Canada



- Many public sector organizations are currently or will be moving from SAP ECC to SAP S/4 HANA in the coming years.
- With any new system implementation, there are important internal control considerations
- Find out how to see this as an opportunity for business process transformation and internal control optimization.

DAY TWO PROGRAM AGENDA: WEDNESDAY, NOVEMBER 17, 2021

15:15 - 15:45 EST

Q & A

15:45 EST

End of Day Two

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Public Sector **Internal Controls**

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Organization _____

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Name of Approving Manager _____ Title _____

Address _____

City _____ Province _____ Postal Code _____

Company's main line of business _____ Number of Employees _____

2 SELECT YOUR PREFERRED PAYMENT METHOD

Prices subject to GST / HST	Full Price	Register by November 5
Course for Groups of 3 +	CAD \$ 2,199 each	CAD \$ 1,899 each
Course for Groups of 2	CAD \$ 2,299 each	CAD \$ 1,999 each
Course for 1 Registrant	CAD \$ 2,399	CAD \$ 2,099



** Groups must register together at the same time to be eligible for group savings.*

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VIRTUAL COURSE:

Public Sector Internal Controls will be held as a virtual on-line conference. Details on how to access and participate in the event will be sent to all confirmed delegates prior to run date.

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CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and email to register@infonex.com or fax to 1.800.558.6520 **no later than November 2, 2021**. A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue.

Registrants who cancel after **November 2, 2021**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel by **November 2, 2021** and fail to attend will be liable for the entire registration fee.

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