November 22 - 23, 2022 • Virtual Course

Public Sector
Internal Controls

The Latest Advice, the Newest Solutions!

Virtual Course

YOUR FACULTY

CONFIRMED PARTICIPANTS INCLUDE:

Monia Lahaie
Treasury Board of Canada Secretariat, Government of Canada

Alec Keyes
Canada Revenue Agency

Brian (Drew) McKenna
Government of Canada

Darren Budd
Orbis Risk Consulting

Nina Ramful
Employment and Social Development Canada

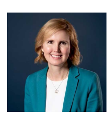
... and more

INFONEX
Professional Development

Today's foremost expert will provide advice and guidance how to:

- Identify new risks arising from transformational change
- Apply effective new techniques, trends and best practices in scanning the environment for changes required to maintain the effectiveness of internal controls
- Learn what metrics are required to measure and monitor your organization's progress in diversity, equity and inclusion
- Understand what Board oversight includes and the role of the Board in setting risk tolerance
- Use due diligence to drive confidence in outsourced controls when outsourcing to third parties
- Benefit from lessons learned about fraud and waste hotlines
- Build resilience into your financial controls monitoring program
- Hear how to assess risks and controls at the program level
- Learn to detect employee benefits fraud

Featured Session!



The Evolution of Internal Controls in the Government of Canada
Monia Lahaie, Assistant Comptroller General of Financial Management Sector at Office of the Comptroller General, Treasury Board of Canada Secretariat, Government of Canada

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DAY ONE PROGRAM AGENDA: TUESDAY, NOVEMBER 22, 2022

10:00 - 10:15 EST

Welcome and Opening Remarks from the Chair

Darren Budd, Partner, Orbis Risk Consulting

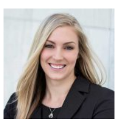
10:15 - 11:00 EST

The Evolution of Internal Controls in the Government of Canada

Monia Lahaie, Assistant Comptroller General of Financial Management Sector at Office of the Comptroller General, Treasury Board of Canada Secretariat, Government of Canada

- Historical context giving rise to the Policy on Internal Control and its evolution
- The journey from Internal Controls over Financial Reporting (ICFR) to Internal Controls over Financial Management (ICFM)
- What's next: Leveraging data to modernize governance and oversight
- Central agency support for effective systems of internal controls

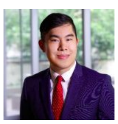
11:00 - 11:45 EST



Evaluating Internal Controls Across the Procure-to-Pay Process

Isabelle Roberge, Senior Manager, Risk Assurance Services, PwC Canada

Alex Gong, One Analytics - Senior Manager, PWC Canada



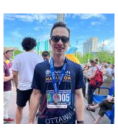
This session will cover how the UiPath process mining tool was used to evaluate the Procure-to-Pay process for a major government department. Topics will include process mining functionalities including:

- Exploring variations in the process
- Identifying inefficiencies with root cause analysis
- Reviewing automation opportunities, and accessing process non-conformance

11:45 - 12:30 EST

When and How ERM can inform Internal Control Design and Monitoring

Alec Keyes, Acting Assistant Director of the Risk Management Centre of Expertise, Canada Revenue Agency



Marc-Antoine Guenette, Assistant Director of IT & Security, and Emerging Technology Audit, Evaluation, and Risk Branch, Canada Revenue Agency / Government of Canada

- Identifying your organization's approach to ERM
- Avoiding duplication and contradictions
- Using risk tolerance to inform control design
- Tips on using ERM reporting to anticipate and avoid surprises

DAY ONE PROGRAM AGENDA: TUESDAY, NOVEMBER 22, 2022

12:30 - 13:30 EST Break

13:30 - 14:15 EST

Financial Governance and Controls in Indigenous Nations and Institutions

John Lees, Partner, Indigenous Governance and Business Transformation, Orbis Risk Consulting

- Financial governance and internal control in indigenous nations and institutions
- Reconciliation and Inherent Rights, and considerations for government funders
- First Nations financial control standards and assessment regime
- Moving to nation-to-nation Fiscal relations with First Nations

14:15 - 15:00 EST

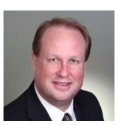
Internal Controls to Monitor Progress in Diversity, Equity and Inclusion

Jane Whynot, Partner, Goss Gilroy Inc.

- Internal Controls to identify gaps and monitor DEI progress
- The need for quantifiable goals to increase accountability
- What metrics are required?

15:00 - 15:15 EST Break

15:15 - 16:00 EST



Using Fraud and Waste Hotlines to Identify Internal Control Weaknesses and Opportunities

Darren Budd, Partner, Orbis Risk Consulting

Charles Brown, City Auditor, City of Hamilton

- Examples of success stories
- Tools to differentiate actionable control issues from other issues
- Lessons learned

16:00 EST **End of Day One**

DAY TWO PROGRAM AGENDA: WEDNESDAY, NOVEMBER 23, 2022

10:00 - 10:15 EST

Welcome and Opening Remarks from the Chair

Darren Budd, Partner, Orbis Risk Consulting

10:15 - 11:00 EST

Internal Control Considerations When Outsourcing to Third Parties

Nina Grimes, Partner, Deloitte LLP

Nicole Dufresne Baker, Director, Risk Advisory / Government and Public Service (GPS), Deloitte LLP

This session will provide high level insights for managing internal controls when relying on third parties.

- Mitigating outsourcing risks by setting standards for outsourced providers
- What level of due diligence is required?
- Public sector obligations in outsourcing
- Confidentiality and security issues
- Contractual terms when dealing with the private sector

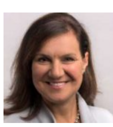
11:00 - 11:45 EST

Data Analytics, Quantitative Risk & Internal Controls

Brian (Drew) McKenna, Manager Audit Technology Optimization & Modernization (ATOM) Office of the Comptroller General, Government of Canada

- Our journey with data analytics across 40 large Federal Departments and Agencies
- Transforming and Analyzing financial transactional data
- Identifying risks or control failures through the actions of people
- Visualising internal control results using a risk-based approach in PowerBI (demo)

11:45 - 12:30 EST



Public Sector Board Perspective on Internal Controls

Carmen Abela, Managing Director, WindReach Consulting Services Inc.

- Board’s responsibility to ensure management is implementing and enforcing internal controls
- Monitoring management’s response to control deficiencies and weaknesses

12:30 - 13:30 EST Break

13:30 - 14:15 EST

Building Resilience into your Financial Controls Monitoring Program

Kristann Rose, Owner and Principal Consultant, KER Consulting Inc

- Identifying issues and providing insights in real time
- Navigating internal control programs through changes and challenges
- Driving efficiency through strong processes and digitalization
- Leveraging a three lines of defence approach to optimize assurance

DAY TWO PROGRAM AGENDA: WEDNESDAY, NOVEMBER 23, 2022

14:15 - 15:00 EST

Program-level Risk Assessments to Improve Program Delivery

Nina Ramful, Senior Program Advisor, Employment and Social Development Canada

Richard Spitz, Business Strategies Consultant, Employment and Social Development Canada

- Introduction to program risk assessment and tools
- Implementation strategy
- Examples of program improvement
- Lessons learned from the pilot implementation of the Program Risk Assessment

15:00 - 15:15 EST Break

15:15 - 16:00 EST

Preventing Benefits Fraud in the Public Sector

Gary Askin, AVP, Fraud Risk Management, Sun Life Financial Canada

Employers and their insurance providers are reeling at the proliferation of fraud rings — groups of employees who work together to abuse and exploit benefit plans, with medical services providers as their eager accomplices. Find out:

- What long-standing auditing weaknesses allows this sort of brazen abuse to go unchecked?
- What went wrong with internal controls – what controls were in place but ignored?
- Control delusion – there is a control but it is not being exercised?
- Role of data scientists
- Solutions: Using an artificial intelligence program to spot fraudulent claims
- Solutions: The approved providers network

16:00 EST **End of Day Two**

Public Sector **Internal Controls**

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REGISTER BY PHONE, ON-LINE, OR IN THESE 3 EASY STEPS!

1 PRINT YOUR NAME AND CONTACT INFORMATION

Full Name ______________________ Title ______________

Organization ______________________________

Telephone ( ) ______________ Ext ______ Fax ( ) ______________

Email address ______________________________

Name of Approving Manager ______________ Title ______________

Address ______________________________

City ______________ Province ______ Postal Code ______________

Company's main line of business ______________ Number of Employees ______

2 SELECT YOUR PREFERRED PAYMENT METHOD

Prices subject to GST / HST	**Full Price**	**Register by November 18**
Course for Groups of 3 +	CAD $ 2,199 each	**CAD $ 2,099 each**
Course for Groups of 2	CAD $ 2,299 each	**CAD $ 2,199 each**
Course for 1 Registrant	CAD $ 2,399	**CAD $ 2,299**

Register by **NOVEMBER 18 to SAVE up to CAD $ 100** off the regular course fee

** Groups must register together at the same time to be eligible for group savings.*

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VIRTUAL COURSE:

Public Sector Internal Controls will be held as a virtual on-line event. Details on how to access and participate in the event will be sent to all confirmed delegates prior to run date.

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Increase your visibility with senior business strategy, technology, analytics, and business intelligence professionals at *Public Sector Internal Controls*. A limited number of sponsorship options are available.

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CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and email to register@infonex.com or fax to 1.800.558.6520 **no later than November 8, 2022**. A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue.

Registrants who cancel after **November 8, 2022**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel by **November 8, 2022** and fail to attend will be liable for the entire registration fee.

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