

October 15 - 16, 2024 • Post-Conference Workshop: October 17, 2024

• **Toronto, Ontario** * Virtual Attendance Available

Annual Event! Explore Vulnerabilities and the Latest Best Practices for ORM -
The Only Event of Its Kind in Canada!

Operational Risk Management

Experience the Flexibility of a Hybrid Event: Attend In-Person or Virtually!

YOUR FACULTY CONFIRMED PARTICIPANTS INCLUDE:



Rajat Dhiman
Scotiabank



Amir Rahmani
Gore Mutual Insurance



Elsbeth Bowler
OSFI



Mark Barboza
PwC



Katherine Macpherson
KM Risk Consulting

... and more



Professional Development

Go Beyond a One-Size Fits all Approach

- Unlock Regulatory Insights: Gain Clarity and Guidance from OSFI.
- Strengthen Operational Risk Resilience with Actionable Strategies.
- Engage the first line of defense.
- Hear strategies for building and modifying your ORM framework.
- Address when to employ which operational risk assessment tool.
- Explore root causes of operational risk events.
- Actively Safeguard Against Cyber Threats.
- Develop a risk culture framework.
- Identify controls of digital transformations.
- Peer-approved options for approaching a RCSA.
- Mastering Operational Resilience: Navigate Cyber Threats and Regulatory Complexities.
- Elevate Risk Management: Innovate Strategies for Digital Transformation and Third-Party Risks.

REGISTER TODAY!

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Operational Risk Management

October 15 - 16, 2024 • Post-Conference Workshop: October 17, 2024 • Toronto, Ontario

DAY ONE PROGRAM AGENDA: TUESDAY, OCTOBER 15, 2024

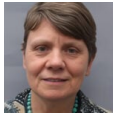
10:30 - 10:45



Welcome and Opening Remarks from the Chair

Katherine Macpherson, Principal, KM Risk Consulting

10:45 - 11:45



Keynote:

OSFI's Operational Resilience and Risk guideline (E-21)

Elspeth Bowler, Managing Director, Operational Risk Division, OSFI

- Outline OSFI's operational resilience requirements
- Address risk mitigation strategies
- Emphasize compliance with E-21 guidelines

11:45 - 12:45



Comparison of AI Regulations using Large Language Models (LLMs)

Rajat Dhiman, Manager, AI Risk, Scotiabank

- Analyze existing AI regulations across different jurisdictions using LLMs to identify key differences and similarities.
- Evaluate the impact of various regulatory approaches on AI development and deployment with insights generated by LLMs.
- Synthesize recommendations for best practices in AI governance by leveraging the comparative analysis provided by LLMs.

12:45 - 13:30 Break

13:30 - 14:30

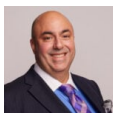


Awareness and Identification of Emerging Risks

Saad Ali, Senior Director, Regulatory Validations, CIBC

- Conduct regular risk assessments to identify potential threats and vulnerabilities.
- Monitor industry trends and market fluctuations to detect emerging risks.
- Engage stakeholders and gather feedback to raise awareness of evolving risks and their potential impacts.

14:30 - 15:30



A Framework to Connect Risk Appetite, Risk Limits, and Key Risk Indicators

Amir Rahmani, Chief Risk Officer, Gore Mutual Insurance

- Define risk appetite thresholds
- Establish risk limits for various scenarios
- Implement key risk indicators to monitor deviations

15:30 - 16:30

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DAY ONE PROGRAM AGENDA: TUESDAY, OCTOBER 15, 2024



Integrating Social Risk into Operational Risk Management Frameworks

Katherine Macpherson, Principal, KM Risk Consulting

- Identify potential social risks by conducting thorough stakeholder analyses and community impact assessments.
- Develop comprehensive risk management strategies that incorporate social risk factors into existing operational risk frameworks.
- Implement training programs for employees to enhance awareness and responsiveness to social risks within the operational context.

16:30

End of Day One

SPONSORSHIP AND EXHIBITION OPPORTUNITIES

Increase your visibility with senior business strategy, technology, analytics, and business intelligence professionals at *Operational Risk Management*. A limited number of sponsorship options are available.

For more information or to check availability, contact our sponsorship department by telephone at 416.507.4202, or by email at sponsorship@infonex.com.

Operational Risk Management

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DAY TWO PROGRAM AGENDA: WEDNESDAY, OCTOBER 16, 2024

10:00 - 10:10 **Welcome and Opening Remarks from the Chair**

10:30 - 11:30

Unmasking Fraud: Strategies for a Proactive Defense

- Implement fraud detection systems
- Conduct regular audits and investigations
- Educate employees on fraud prevention
- Strengthen internal controls and monitoring
- Respond swiftly to suspected fraudulent activities

11:30 - 12:30

From the Ground Up: Cultivating an Operational Risk-Aware Culture

- Instill risk awareness through training
- Foster open communication about risks
- Encourage proactive risk reporting
- Embed risk considerations in decision-making
- Lead by example in prioritizing risk management

12:30 - 13:15 Break

13:15 - 14:15

Managing the Risks of Emerging Technologies

- Assess the potential risks of new technologies.
- Implement robust security measures.
- Monitor technology performance and vulnerabilities.
- Adapt risk management strategies to technological advancements.
- Collaborate with experts to stay ahead of emerging threats.

14:15 - 15:15

Integrating ESG Risks into Operational Resilience Strategies

- Incorporate ESG risk assessments into resilience planning
- Embed sustainability principles into operational frameworks
- Align business practices with ESG objectives
- Monitor ESG performance indicators
- Adapt resilience strategies to address ESG risks effectively

15:15 - 16:15

Strengthening Operational Resilience Through Simulation

- Conduct comprehensive scenario simulations
- Analyze resilience gaps and weaknesses
- Identify opportunities for improvement
- Implement enhancements to operational resilience
- Test and refine resilience strategies iteratively

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DAY TWO PROGRAM AGENDA: WEDNESDAY, OCTOBER 16, 2024

16:15 **End of Day Two**

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POST-CONFERENCE WORKSHOP PROGRAM AGENDA: THURSDAY, OCTOBER 17, 2024

10:00 - 13:00



Operational Risk 101

Mark Barboza, Director, Operational Resilience, PwC

This half-day workshop is for newcomers to operational risk, those wanting a career in operational risk, and for those where operational risk is now a part of their role or responsibilities, and for those who need to have a good understanding of effective operational risk management (ORM) programs. This workshop will provide you with a meaningful understanding of operational risk management through experiential learning. By the end of the workshop, you will be able to: understand increasing regulatory expectations, discuss your challenges with embedding an effective ORM program, describe ORM building blocks and be an intentional and effective operational risk manager.

1. Regulatory landscape
2. Operational Risk ecosystem
3. ORM value proposition
4. Foundational elements of ORM
 1. Operational Risk Appetite
 2. Operational Risk Taxonomy
 3. Operational Risk Events (ORE)
 4. Risk & Control Self Assessment (RCSA)
 5. Key Risk Indicators
 6. Scenario Analysis
 7. Issue Management
 8. Operational Risk reporting
5. User centric training
6. Characteristics of an effective operational risk manager

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REGISTER BY PHONE, ON-LINE, OR IN THESE 3 EASY STEPS!

1 PRINT YOUR NAME AND CONTACT INFORMATION

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Name of Approving Manager _____ Title _____
Address _____
City _____ Province _____ Postal Code _____
Company's main line of business _____ Number of Employees _____

2 SELECT YOUR PREFERRED PAYMENT METHOD

Early-bird Price Available until July 12, 2024

Prices subject to GST / HST	In-Person - Course for 1 Registrant	Virtual - Course for 1 Registrant
Two-day Conference +Post-Conference Workshop	CAD \$ 2,799 Subsequent Delegates: CAD \$ 1,399	CAD \$ 2,299 Subsequent Delegates: CAD \$ 1,499
Two-day Conference only	CAD \$ 2,399 Subsequent Delegates: CAD \$ 1,499	CAD \$ 2,099 Subsequent Delegates: CAD \$ 999
Post-Conference Workshop only	CAD \$ 599	CAD \$ 399

Regular Full Price

Prices subject to GST / HST	In-Person - Course for 1 Registrant	Virtual - Course for 1 Registrant
Two-day Conference +Post-Conference Workshop	CAD \$ 3,499	CAD \$ 2,899
Two-day Conference only	CAD \$ 2,999	CAD \$ 2,499
Post-Conference Workshop only	CAD \$ 799	CAD \$ 499

* Groups must register together at the same time to be eligible for group savings.

* There is a virtual attendance option! You have the option to attend the live in-person event or the virtual version of the event.

Method of Payment: ☐ VISA ☐ MasterCard ☐ Cheque enclosed, payable to INFONEX Inc.

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330 Bay Street, Suite 1500
Toronto, ON M5H 2S8

LOCATION:

Operational Risk Management will be held at a convenient location in Toronto, Ontario. Detailed venue information will be forthcoming as it becomes available.

YOUR REGISTRATION INCLUDES:

Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

SPONSORSHIP, EXHIBITION, AND PROMOTIONAL OPPORTUNITIES:

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Contact our sponsorship department by telephone at 416.507.4202, or by email at sponsorship@infonex.com.

CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and email to register@infonex.com or fax to 1.800.558.6520 **no later than October 1, 2024**. A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue.

Registrants who cancel after **October 1, 2024**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel by **October 1, 2024** and fail to attend will be liable for the entire registration fee.

DISCOUNT CODE: 1471-W

