

June 16, 2026 • Virtual Course

Financial Services Regulation 2026: Risks and Opportunities

Effective Gameplans for a High-Stakes Environment



YOUR FACULTY CONFIRMED PARTICIPANTS INCLUDE:



Eyal Sivan
Ozone API



David Vijan
Outlier Solutions Inc



Vladimir Shatiryan
Blakes



Matthew Levine
Levine Legal

... and more

Hear from experts on all the most important issues, including how to:

- Prepare for Bill C-2 and FATF scrutiny across financial sectors.
- Strengthen AML programs to meet evolving international standards.
- Govern AI use while managing legal, ethical, and reputational risks.
- Anticipate enforcement priorities across securities, crypto, and market conduct.
- Navigate crypto and stablecoin regulation, including AML and custody.
- Leverage RegTech and automation to streamline compliance operations.
- Move programs from regulatory uncertainty to operational clarity.
- Adapt to real-time payments and associated operational and fraud risks.
- Respond to capital markets enforcement trends and regulator expectations.



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DAY ONE PROGRAM AGENDA: TUESDAY, JUNE 16, 2026

09:30 - 09:40 EDT



Welcome and Opening Remarks from the Chair

Dwayne King, Assistant V.P. Compliance, WFCU Credit Union

09:40 - 10:20 EDT



Transforming Anti-Money Laundering in Canada: Bill C-2 and the Financial Crimes Agency

David Vijan, Co-Founder & CEO, Outlier Solutions Inc



Garry W.G. Clement, CAMS, CFE, CFCS, FIS, CCI, CCIA (Author), President and CEO, Clement Advisory Group

The AML framework in Canada is evolving rapidly, with new financial crime obligations and the creation of a Financial Crimes Agency increasing scrutiny on institutions of all sizes. This session will help you understand what these new obligations mean for your compliance program, and what compliance measures you will need to consider, to confidently meet heightened regulatory expectations and avoid enforcement pitfalls.

- Key changes and expanded reporting obligations.
- Application across banks, credit unions, and securities dealers.
- Role, mandate, and operational status of the new Financial Crimes Agency.
- Practical steps to prepare.

10:20 - 11:00 EDT



FATF Mutual Evaluation & Canada's Global AML Reputation

Ora Morison, Lawyer, Blakes

Canada's FATF evaluation will determine both international credibility and domestic supervisory intensity, raising the stakes for financial institutions that fail to demonstrate strong AML programs. This session will help you assess your institution's AML readiness, identify potential gaps, and align internal controls with international expectations so that you can protect your reputation and maintain regulator confidence in a high-stakes global context.

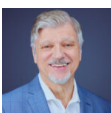
- FATF evaluation impact on Canadian financial institutions.
- Strengthening internal AML controls and reporting frameworks.
- Lessons from international enforcement and compliance actions.
- Focus on non-bank financial institutions, including credit unions.

11:00 - 11:40 EDT



Artificial Intelligence in Financial Services: Regulatory Risk & Ethical Deployment

Jeff Bryan, M.A. CGSS, CFE, Founder, CRAYCR.AI INC.



Stephen Cheeseman, Legal Counsel and Compliance Officer, Avondale Private Capital

AI is already embedded across financial services, but expanding use raises regulatory, ethical, and privacy concerns. This session will help you evaluate AI governance, implement risk controls, and monitor transparency so that you can deploy AI responsibly across lending, advisory, and

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operational functions.

- Regulatory expectations for AI applications.
- Mitigating bias, privacy, and transparency risks.
- Establishing AI governance frameworks.
- Use cases across lending, wealth management, and advisory.

11:40 - 12:20 EDT



Capital Markets Enforcement & Regulatory Priorities: What to Expect in 2026

Jonathan Wansbrough, Partner, Fasken

Market conduct scrutiny is intensifying, with regulators targeting manipulation, insider trading, and emerging risks like AI-driven trading. This session will help you anticipate enforcement priorities, strengthen compliance, and adjust market conduct controls so that you can reduce regulatory exposure and protect your firm's reputation.

- CSA and IIROC enforcement priorities.
- Market conduct, manipulation, and investor protection risks.
- Oversight of AI-driven trading strategies.
- Lessons from key enforcement cases.
- Implications for independent and bank-owned dealers.

12:20 - 13:00 EDT Break

13:00 - 13:40 EDT



Sector Deep Dive: Credit Unions - Prudential, Competitive, and Governance Pressures

Moderator: Vladimir Shatiryan, Partner, Blakes



Dwayne King, Assistant V.P. Compliance, WFCU Credit Union



Kristie Lestition, First Ontario

Credit unions face regulatory fragmentation, growing competition, and evolving governance expectations. This session will help you navigate provincial and federal oversight, optimize capital and liquidity strategies, and strengthen governance so that you can maintain competitiveness and growth in a complex environment.

- Provincial versus federal regulation (FSRA, AMF, OSFI)
- Capital, liquidity, and growth management.
- Competition from banks and fintechs.
- Governance best practices for member-owned institutions.

13:40 - 14:20 EDT



Crypto, Stablecoins and the Next Phase of Canadian Financial Services Policy

Carlo Campisi, Head of Policy, Shakepay

- Distinctions between cryptocurrencies and stablecoins.
- How digital assets fit into broader financial services modernization in Canada.

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- Stablecoins, payments innovation, and the need for clear policy.
- Consumer protection, fraud prevention, and responsible access.
- Institutional adoption: opportunities, limitations, and policy conditions.
- Canada's competitiveness question: supporting innovation while managing risk.

14:20 - 15:00 EDT



From Screening to Decisioning: Using AI to Solve the "Last Mile" of AML and Identity Governance

Joseph Iuso, Global Regulatory Affairs, KYC2020

In an era of exploding transaction volumes and rapidly evolving sanctions lists, traditional "check-the-box" compliance is no longer sustainable. This session explores:

- The transition from manual, rules-based screening to a "Decision Integrity" model.
- How AI and machine learning can be leveraged to eliminate "alert storms," reconcile conflicting data signals between blockchain analytics and identity verification, and maintain a regulator-defensible audit trail.
- Drawing on the "Radiology Analogy," the talk will demonstrate that while AI can streamline the "impossible ceiling" of human manual review, the ultimate accountability for AML decisions remains firmly human.
- Attendees will walk away with a framework for implementing context-adaptive workflows and continuous certification practices that move programs from regulatory uncertainty to operational clarity.

15:00 - 15:40 EDT



Real-Time Payments & Financial Infrastructure: Building Resilient, Instant Systems **Matthew Levine, Levine Legal**

Real-time payments increase speed and customer expectations but also heighten operational and fraud risks. This session will help you understand infrastructure, liquidity, and oversight requirements so that you can implement resilient systems while managing operational and regulatory risk.

- Real-time payment system overview.
- Clearing, settlement, and liquidity management.
- Operational risks, fraud, and outage mitigation.
- Participation models for credit unions and dealers.

15:40 - 16:20 EDT



Governance & Culture Under OSFI Scrutiny: Accountability in a New Regulatory Era **Carol Hansell, Founder and Senior Partner, Hansell LLP**

Regulators now assess culture and governance as key risk factors. This session will help you strengthen board oversight, embed accountability, and manage emerging risks so that you can demonstrate regulatory alignment and enhance institutional resilience.

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- OSFI expectations on governance and culture.
- Strengthening board and senior management accountability.
- Managing climate, operational, and emerging risks.
- Alignment with provincial regulators and CIRO.

16:20 - 17:00 EDT



Open Banking in Canada: Implementation Update & Key Milestones

Eyal Sivan, GM, North America, Mr. Open Banking, Ozone API

Open banking is reshaping data sharing, competition, and consumer expectations. This session will help you understand governance, consent, and liability frameworks so that you can implement Open Banking strategically and gain competitive advantage.

- Status of consumer-directed finance framework.
- Key implementation milestones.
- Governance, consent, and liability frameworks.
- Strategic implications for credit unions and dealers.

17:00 - 17:30 EDT



Wrap-Up and Q&A

Dwayne King, Assistant V.P. Compliance, WFCU Credit Union

17:30 EDT

End of Course

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Course for Groups of 2	CAD \$ 899 each	CAD \$ 699 each
Course for 1 Registrant	CAD \$ 999	CAD \$ 799

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VIRTUAL COURSE:

Financial Services Regulation 2026 will be held as a virtual on-line event. Details on how to access and participate in the event will be sent to all confirmed delegates prior to run date.

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Increase your visibility with senior business strategy, technology, analytics, and business intelligence professionals at *Financial Services Regulation 2026*. A limited number of sponsorship options are available.

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CANCELLATION POLICY:

Substitutions may be made at any time. If you are unable to attend, please make cancellations in writing and email to register@infonex.com or fax to 1.800.558.6520 **no later than June 2, 2026**. A credit voucher will be issued to you for the full amount, redeemable against any other INFONEX course and which is valid for twelve months (one year) from the date of issue.

Registrants who cancel after **June 2, 2026**, will not be eligible to receive any credits and are liable for the entire registration fee.

Confirmed registrants who do not cancel by **June 2, 2026** and fail to attend will be liable for the entire registration fee.

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